

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty Docket No. A01458

In re application of:

David William Whitman

Confirmation No.

Serial No.:

10/690,767

Group Art Unit:

Filed:

10/22/03

Examiner:

For:

Curable Clay Composition: Composition, Processes, and Uses Thereof

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with Applicant(s)' duty of disclosure under 37 CFR §§ 1.97 to 1.98, Applicant(s) submit(s) herewith substitute for form 1449/PTO "Information Disclosure Statement by Applicant". Inclusion of a document in this Information Disclosure Statement or on its attached form PTO-1449 is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

The filing of the Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 CFR 1.56(a), exists.

As per the OG, August 05, 2003, waiver of Rule 37 CFR 1.98 (a) (2) (i), Applicant (s) have not submitted any copies of U.S. Patents and Published Applications since this application was filed after June 30, 2003.

The Examiner is requested to review the references cited herein and to make the references cited of record in the present application.

Respectfully submitted,

Andrew E. C. Merriam
Attorney for Applicant (s)

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CERTIFICATE OF MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated next to my signature below:

> Information Disclosure Statement List of Art Cited (Form PTO 1449) Cited Art Postcard

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INFORMATION	DISCLOSURE

STATEMENT BY APPLICANT (Use as many sheets as necessary)

Sheet 1

Complete if Known			
Application Number	10/690,767		
Filing Date	October 22, 2003		
First Named Inventor	David W. Whitman		
Art Unit			
Examiner Name			
Attorney Docket Number	A01458		

	1 64			DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		^{US-} 6,447,860 B1	09/10/02	Mueller et al	
		^{US-} 6,417,262 B1	07/09/02	Turner et al	
		^{US-} 6,384,121 B1	05/07/02	Barbee et al	
		^{US-} 6,087,016	07/11/00	Feeney et al	
		^{US-} 5,969,029	10/19/99	Kotani et al	
		^{US-} 5,840,825	11/24/98	Carlblom et al	
		^{US-} 5,766,751	06/16/98	Kotani et al	
		US-			
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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	1
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Tendence is indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. An example of the complete deposition of the USPTO. on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO			Compl t if Known			
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INF	ORMATION	I DIS	CLOSURE	Filing Date	10/22/03	
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Sheet	2	of	2	Attorney Docket Number	A01458	
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		ZAHOUILY, K. et al; "A Novel Class of Hybrid Organic/Clay UV-Curable Nanocomposite Materials", Radtech 2002 Technical Conference Proceedings (2002)	
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Examiner	Г	Date	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. B x 1450, Alexandria, VA 22313-1450.